

# Plasmonic chirality of L-shaped nanostructure composed of two slices with different thickness

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**Abstract:** A concise method is proposed to fabricate L-shaped Ag nanostructures (LSANs) for generating chirality. Prepared by glancing angle deposition, the LSAN composed of two slices with different thickness is stacked on self-assembled monolayer polystyrene nanosphere arrays by controlling substrate azimuth and deposition time. The strong optical chirality of LSANs is achieved in visible and near-IR regions by measurement. For the circular dichroism spectrum of LSANs, the intensity is enlarged, and its peaks red-shift with increasing thickness difference. When LSANs are stacked on polystyrene spheres of different diameters, enlargement and red-shift are also observed in their circular dichroism spectra with increasing thickness difference. The numerical calculations of finite element method show that the two slices composing LSAN provide cross-electric dipoles and their thickness difference provides phase difference for generating optical chirality. This study not only provides a concise and scalable method for fabricating chiral plasmonic nanostructures but also contributes to understand the knowledge of the mechanism of circular dichroism.

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## 1. Introduction

Chiral structures cannot be superposed with their mirror images. These structures present different optical response to left circularly polarized (LCP) and right circularly polarized (RCP) light, which is defined as circular dichroism (CD) [1,2]. Considering the strong interaction between light and noble metals, artificial chiral plasmonic nanostructures (ACPN) show stronger CD than chiral biomolecules [3–5]. Researchers deem that the generation mechanism of CD for ACPN is due to the couple of electric dipole moments and magnetic dipole moments [4,5]. ACPNs have been widely applied in analytical chemistry [6–8], biological monitoring [9,10], negative refractive index media [11,12], and broadband circular

polarization [13,14]. A simple, flexible, and effective method to fabricate ACPNs is needed to expand the application of ACPNs.

In recent years, four main methods have been used to fabricate ACPNs. First, electron beam lithography (EBL) was used to prepare planar ACPNs with precise dimensions [15,16]. For example, double-layer gammadion structure fabricated by EBL exhibits a pronounced CD in infrared. The antisymmetric oscillation modes of the two coupled layers exert larger effects on CD than similar single-layer systems. Second, direct laser writing method is used to fabricate 3D ACPNs in micrometer size [17,18]. Tapered gold-helix metamaterial fabricated by direct laser writing shows a bandwidth chiral that acts as circular polarizers [18]. Third, molecular self-assembly method is successfully used to helically assemble ACPNs in solutions [19,20]. DNA origami gold nanoparticle helices fabricated by molecular self-assembly method exhibit well-defined CD signatures in visible region [20]. Fourth, glancing angle deposition (GLAD) is used to prepare ACPNs in macroscopic area [21–24]. Chiral plasmonic nanostructures on achiral nanopillars fabricated by GLAD show strong and tunable chiroptical responses [22]. Furthermore, hybrid nanocolloids with anisotropic 3D shapes and material composition fabricated by Fischer group also show strong chirality [24]. Based on the abovementioned studies, the EBL method is complex, expensive, and limited by large-scale applications. Direct laser writing fabricates nanostructures with difficulty because of low resolution. Metal nanostructures fabricated by molecular self-assembly generally show weak chiral response because of processing in solutions. GLAD is deemed as an excellent method to fabricate ACPNs because of its scalability and conciseness.

By changing substrate azimuth and deposition time, GLAD can concisely fabricate scalable nanostructures for application in solar cells [25–27], ultrasensitive hydrogen sensor [27], and the preparation of helical nanostructures [28,29]. The main mechanism of GLAD is the geometric shadowing effect, which means that the evaporated material cannot be deposited on shadow areas in the vaporizing direction [30,31]. The periodic nanotemplates are always used for providing periodic shadows. Self-assembled microsphere monolayer can be used as a simple template to form larger spectral windows.

In this paper, we prepared a concise and scalable monolayer chiral plasmonic nanostructure with different thicknesses on polystyrene (PS) nanosphere templates by GLAD. LSAN composed of two slices with different thickness are formed through rotating substrate azimuth and controlling deposited time. Experimental results show strong optical chirality of LSAN in visible and near-IR regions. With increasing thickness difference of LSAN, the intensity of CD spectrum is enlarged, and the peaks of CD spectrum red-shift. For PS spheres of different diameters, a similar characteristic is acquired. The trend of simulated results is consistent with experimental results. The distributions of charge density show that the two slices composing LSAN provide cross-electric dipoles and the different positions of the two-slice optical phase variation for generating optical chirality.

## 2. Methodology and simulation

### 2.1 Self-assembly of monolayer polystyrene nanosphere

The self-assembly PS nanospheres were prepared using the method in reference [32]. In particular, the preparation of self-assembly monolayer PS nanospheres includes six steps as shown in the Fig. 1. We initially used a sliced glass to separate the culture dish into left and right parts (A and B) where deionized water can go through from the bottom of the sliced glass. A glass block whose height is slightly lower than the sliced glass is placed into I part of culture dish. Then deionized water is filled until the level of deionized water reaching the height of the block glass. The mix solution PS nanosphere solution (6wt%) with ethyl alcohol according to specific proportions is slowly dripped on the surface of the glass block until the bluish violet monolayer PS nanosphere film overspreading the part I of the culture dish. The monolayer PS nanosphere film is pushed into a tight whole piece by the injector adhered TX-

100 solution (Polyethylene glycol tert-octylphenyl ether). Deionized water is filled until the level of deionized water slightly higher the level of the glass block. The monolayer PS nanosphere film is pushed to part II of culture dish by the injector adhering TX-100 solution. The sediment PS nanosphere below the monolayer PS nanosphere film is drawn by a injector. The monolayer PS nanosphere film is lifted using glass substrates disposed by Piranha solution.

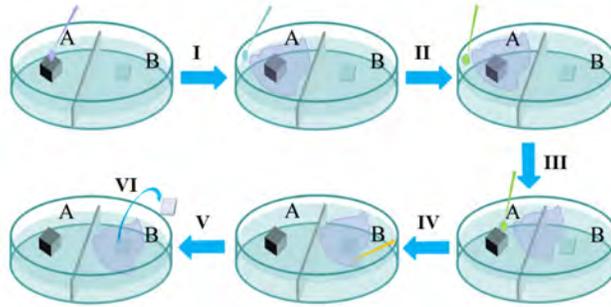


Fig. 1. The Schematics of self-assembly of PS monolayer. The process includes six steps: I dropping PS solution, II injecting deionized water, III injecting TX100, IV pushing PS film, V drawing out sediment, and VI lifting glass substrate.

## 2.2 Characterization

An E-beam evaporator, DE 400 (DE Technology Inc), was used to deposit Ag layers on the monolayer PS nanosphere film. During whole deposition, chamber pressure was around  $1 \times 10^{-7}$  torr. The Ag slug is purchased from Alfa Aesar, 99.99% purity. Scanning electron microscope (SEM) and transmission electron microscope (TEM) images of all LSAN were obtained with a FEI Nova system and a JEM-2100 (JEOL), respectively. CD and UV-vis spectra were obtained with a Chirscan (Applied Photophysics Ltd) [33] and a Lambda 950 (Perkin-Elmer) at room temperature, respectively.

## 2.3 Simulation

The three-dimensional Maxwell's equations incorporating of R-LSAN are calculated using the finite element method (radio frequency model of COMSOL Multiphysics). The refractive index of air is regarded as 1. The frequency dependent permittivities of silver are referred from the Ref [34]. Due to slice fabricated by GLAD, the growing direction of slices has the about  $30^\circ$  angle with respect to the direction of substrate plane. For simplification, the increase of slices is regarded as a linear increase with the increase of vapor time. Here, the R-LSAN is composed by two different right parallelepipeds. At the six sides of the hexagonal unit cell, periodic boundary condition is assumed to obtain the optical response of monolayer R-LSAN on the hexagonal PS nanosphere arrays. Circularly polarized light, the wavelength range from 300 to 900 nm, normally irradiates on the unit cell by setting Ports in the COMSOL Multiphysics. The Perfectly Matched Layers are set up for absorbing light which pass through the Ports.

## 3. Results and discussion

### 3.1 Fabrication of LSAN

The fabrication process of LSANs starts with the preparation of self-assembled monolayer PS nanosphere arrays on glass substrates. Three templates are prepared by using spheres with different diameters, namely,  $d = 380, 280,$  and  $180$  nm, respectively. Two-step depositions are needed for deposition right-helical LSAN (R-LSAN) on PS nanospheres, as shown in Fig. 2. Here,  $\varphi$  is defined as the angle of substrate azimuthal orientation. In the first step, Ag is

deposited onto the monolayer at fixed oblique angle of  $\theta = 86^\circ$  with respect to  $z$ -axis. In the second step, the substrate is anticlockwise rotated at an angle of  $\Delta\varphi = 90^\circ$ . Accordingly, for the left-helical LSAN (L-LSAN), the substrate is rotated clockwise at an angle of  $\Delta\varphi = 90^\circ$ . The thicknesses of two-step depositions are set as  $T_a$  and  $T_b$  in E-beam evaporator. To retain the morphology of LSAN on the different diameters of nanospheres,  $T_a$  and  $T_b$  are proportionately decreased according to the decrease in nanosphere diameters,  $d$ , as follows: to  $d = 380$  nm, taking  $T_a = 100$  nm,  $T_b = 150, 200, 250$  nm; to  $d = 280$  nm, taking  $T_a = 70$  nm,  $T_b = 105, 140, 175$  nm; and to  $d = 180$  nm, taking  $T_a = 50$  nm,  $T_b = 75, 100, 150$  nm, respectively.

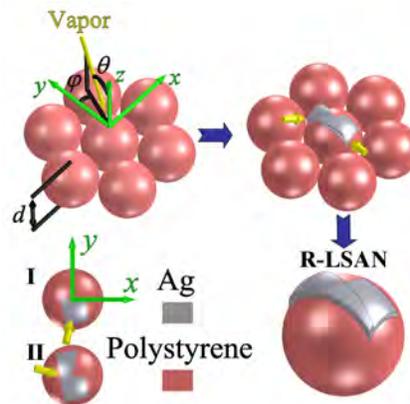


Fig. 2. Schematics of deposition process for R-LSAN. The process includes two steps: I and II as shown at the upper right. The direction of each deposition is indicated by the yellow arrow. Top-view figures of R-LSAN at each step are shown at the lower left. The deposited directions of the second step are rotated clockwise from the top view.

R-LSAN obtained by the above process is polycrystalline because of the template of hexagonal close-packed (HCP) PS nanospheres. Figure 3(a) shows a representative top-view SEM image of R-LSAN on PS nanosphere monolayer. The directions (black arrow) and the thickness of Ag deposition are indicated below in Fig. 3. Six distinct domains are marked as  $D_1, D_2, D_3, D_4, D_5,$  and  $D_6$ . Meanwhile, their higher-magnification SEM images are shown in Figs. 3(b-g), respectively. To illustrate the mechanism for forming different domains, we define each domain by using the azimuthal angle  $\varphi$  for the first Ag deposition. The initial  $\varphi$  can be degenerated as  $\varphi = \varphi_0 + n \cdot 60^\circ$  because of the symmetry of the HCP lattice for  $0^\circ \leq \varphi_0 <$

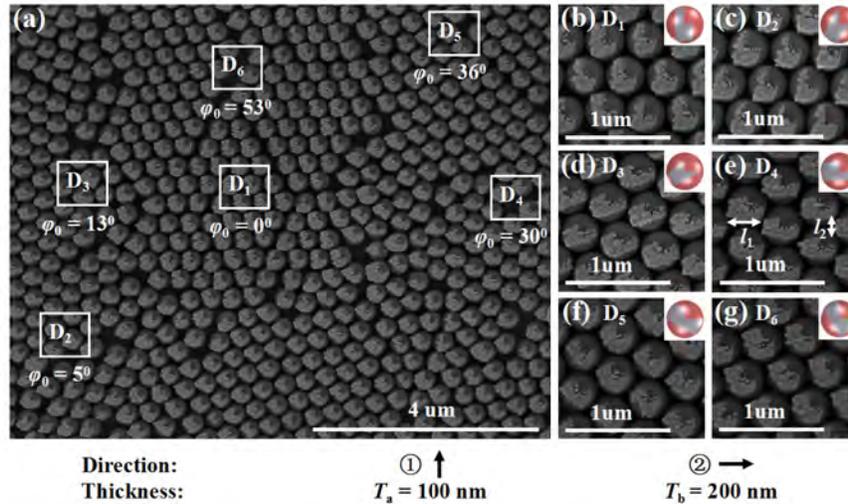


Fig. 3. (a) Top-view SEM images of R-LSANs on  $d = 380$  nm nanosphere monolayers, (b to d) higher-magnification images for domain D<sub>1</sub>, D<sub>2</sub>, D<sub>3</sub>, D<sub>4</sub>, D<sub>5</sub>, and D<sub>6</sub>, respectively. Insets are the schematic morphologies. The sequence and directions of depositions are shown at the bottom of the picture.

$60^\circ$ , where  $n$  is an integer. In this paper,  $\varphi_0$  refers to the initial azimuthal angle to define different domains. As shown in Fig. 3, for domains D<sub>1</sub>-D<sub>6</sub>,  $\varphi_0 = 0^\circ, 5^\circ, 13^\circ, 30^\circ, 36^\circ, 53^\circ$ , respectively. The morphology of a single Ag slice is influenced by  $\varphi_0$  [35]. The SEM images verify the influence, as shown in Figs. 3(b-g). At  $\varphi_0 = 30^\circ$ , the dimensions of individual patches are respectively marked by  $l_1$  and  $l_2$ , as shown in Fig. 3(e). The measured  $l_1$  and  $l_2$

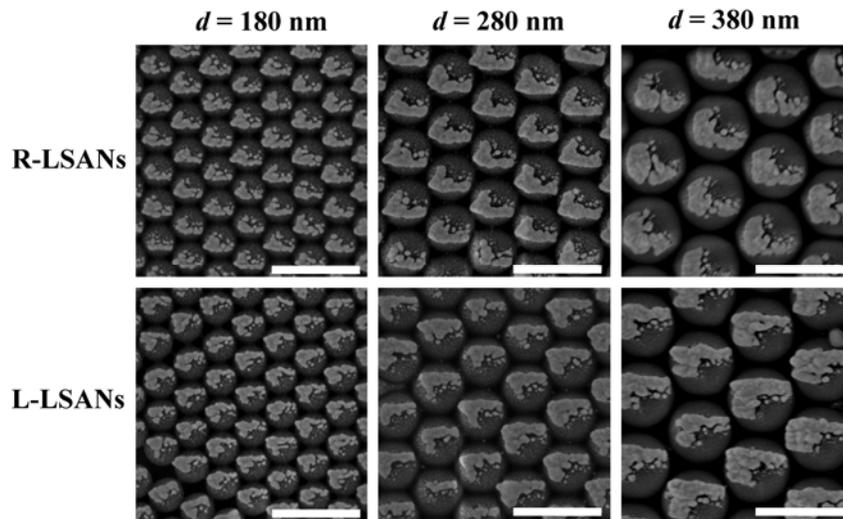


Fig. 4. For  $\varphi_0 = 30^\circ$ , top-view SEM images of R- and L-LSANs on the PS monolayers of  $d = 180, 280,$  and  $380$  nm, respectively. The scale bar in each image is unified as  $500$  nm.

values of R-LSAN on PS nanospheres with different  $d$  values are listed in Tab. 1. The aspect ratio of  $l_2/l_1$  slightly enlarges as  $d$  increases. Similar domain areas for Figs. 3(b-g) were found to be mostly distributed between  $10$  and  $100 \mu\text{m}^2$ , indicating that the PS domain of perfect orientation is nonexistent. Therefore, individual LSAN presents anisotropic structures for each domain, but the array of LSAN domains is isotropic on the macroscale corresponding to

the dimension of the optical beam. Figure 4 shows the SEM images of R- and L-LSANs on the PS monolayers of  $d = 180, 280,$  and  $380$  nm, respectively. The SEM images of R- and L-LSANs are in mirror symmetry.

**Table 1. Structural Parameters of R-LSAN on the Nanosphere of Different Diameter  $d$**

	$d = 180$ nm	$d = 280$ nm	$d = 380$ nm
$T_a$ (nm)	50	70	100
$T_b$ (nm)	100	140	200
$l_1$ (nm)	$173 \pm 10$	$244 \pm 10$	$335 \pm 10$
$l_2$ (nm)	$104 \pm 10$	$168 \pm 10$	$220 \pm 10$
aspect ratio, $l_2/l_1$	$0.60 \pm 0.06$	$0.65 \pm 0.04$	$0.67 \pm 0.03$

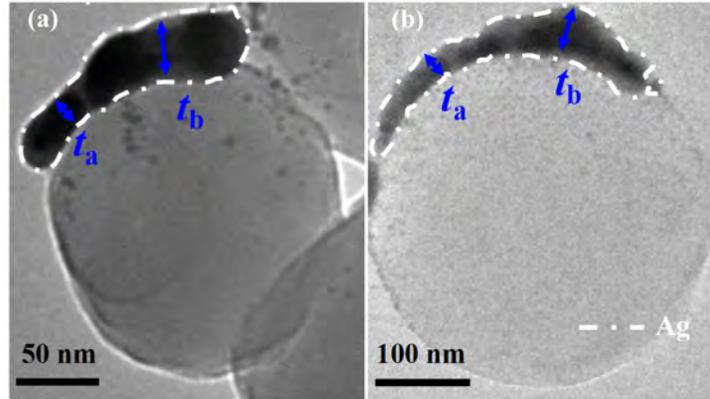


Fig. 5. TEM images of R-LSANs cross-sections (a) for  $d = 180$  nm,  $T_a = 50$  nm, and  $T_b = 100$  nm; (b) for  $d = 380$  nm,  $T_a = 100$  nm, and  $T_b = 200$  nm corresponding to actual thicknesses,  $t_a = 20$  nm and  $t_b = 40$  nm (marked using blue arrows), respectively. The encircled areas by white dashed lines indicate the artificially deposited Ag slices.

To measure the thickness of LSAN, we use TEM to represent the cross-sections of LSAN. The TEM image of R-LSAN on the nanosphere of  $d = 180$  nm is shown in Fig. 5(a), with  $T_a = 50$  nm and  $T_b = 100$  nm. Evidently, Ag appears to be considerably darker than PS because of a larger scattering cross-section for Ag. The white dashed lines are artificially added to better present the stacked Ag slices. The similar TEM image of R-LSAN on the nanosphere of  $d = 380$  nm is shown in Fig. 5(b) with  $T_a = 100$  nm and  $T_b = 200$  nm. The actual thickness is different with the deposited nominal thickness setted in the E-beam evaporator. For the PS  $d = 380$  nm, when the nominal deposited thicknesses are  $T_a = 100$  nm and  $T_b = 200$  nm, the actual thicknesses of Ag slices are approximately  $t_a = 20$  nm and  $t_b = 40$  nm.

### 3.2 Characterization of experimental spectra

Unpolarized ultraviolet-visible spectrophotometer is used to obtain the unpolarized transmittance spectra of LSANs. The unpolarized transmittance spectra of LSANs strongly rely on diameter  $d$ , but without optical chirality, as shown in Fig. 6(a). In the transmittance spectra, a broad valley appears at around  $\lambda = 500$  nm for  $d = 180$  nm, corresponding to the localized surface plasmon resonance (LSPR) of Ag layers. With  $d$  increasing to 280 and 380 nm, this valley becomes broader and red-shifts to  $\lambda = 600, 755$  nm, respectively. Solid arrows are used to mark these transmittance valleys in Fig. 6(a). In theory, with the increase of  $T_a$  and  $T_b$  along the wave vector direction, the positions of LSPRs of metal nanoparticles are blue-shifted because of the decrease aspect ratio; with increasing  $d$  along the direction of polarization, the LSPR of metal nanoparticles is red-shifted because of increasing aspect ratio [36]. In this section, an evident red shift is observed with the increase of  $T_a, T_b,$  and  $d$ . Hence, the influence of  $d$  is stronger than the influence of  $T_a$  and  $T_b$  on the unpolarized transmittance spectra of LSANs. For LSANs on the PS nanosphere monolayers of  $d = 280$  and  $380$  nm, the

transmittance valleys at 380, 520 nm are due to first diffracted order, respectively [37]. The valleys also appear in the transmittance spectra of PS monolayers and are marked by dashed arrows and “PS” in Fig. 6(a).

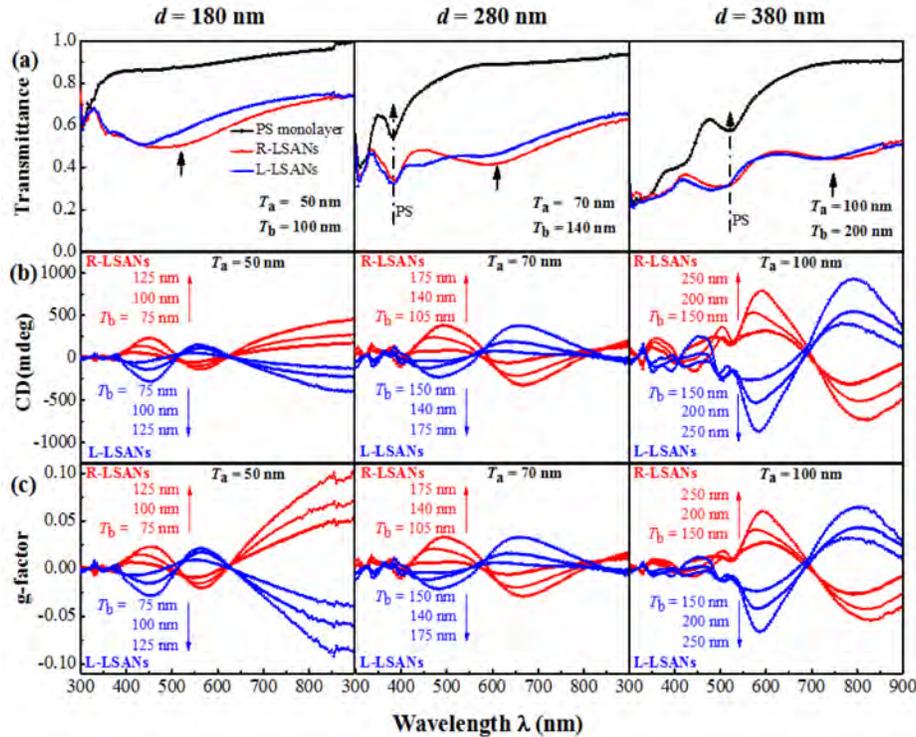


Fig. 6. For the different nanospheres of  $d = 180, 280, 380$  nm: (a) Unpolarized transmittance spectra, (b) CD spectra, and (c)  $g$ -factor of LSANs with different  $T_a$  and  $T_b$ , respectively. Note that black dash arrows (PS) and black solid arrows in (a) mark the wavelength locations for first diffracted order of PS nanospheres and the LSPR of LSANs, respectively.

To obtain the chiral optical properties of LSANs, we measured CD (mdeg) spectra by Chirscan. As shown in Fig. 6(b), R-LSAN and L-LSAN present mirrored CD response from  $\lambda = 300$  nm to  $\lambda = 900$  nm. For both R-LSAN and L-LSAN on nanospheres of  $d = 180$  nm, the intensity of CD spectra are enlarged and CD spectra are red-shifted with increasing  $T_b$ . For R-LSAN, broad valleys in the range from 500 nm to 620 nm indicate that the absorption of LCP light is smaller than the absorption of RCP light. The intensity of CD signal for R-LSAN is enlarged from  $-58$  mdeg to  $-142$  mdeg, or by 2.4 times, with the varied  $T_b$  from 75 nm to 125 nm. For L-LSAN, the intensity is magnified from  $+56$  mdeg to  $+148$  mdeg, or by 2.6 times, with the same change in  $T_b$ . The same trend of enlargement and red-shift also exist in  $d = 280, 380$  nm with increasing  $T_b$ . As  $d$  increases to 280 and 380 nm, the position of CD valley red-shifts to around 660 and 820 nm, respectively, for respective maximal  $T_b$ . Meanwhile, the maximal magnitude of the CD valley for R-LSAN is magnified to  $-330$  and  $-740$  mdeg, respectively. We measured the CD spectra of LSANs at different azimuthal orientations of substrates and CD spectra under front and back illumination. The CD responses at longer wavelength (600 nm – 900 nm) do not depend on the azimuthal orientation angle and irradiation direction obviously, which reveals the weak linear birefringence and polarization conversion of LSANs.

In addition, the asymmetry  $g$ -factor is defined as  $g = \Delta A / A$ , where  $\Delta A = \text{CD (mdeg)} / 33000$  is the difference of absorption between LCP and RCP light, and  $A$  is the unpolarized absorption of LSAN. For the different nanospheres, Fig. 6(c) shows  $g$ -factor of LSANs with

different  $T_a$  and  $T_b$ . The characteristics of enlargement and red-shift are similar with the CD spectra. The  $g$ -factor of the corresponding LSAN reaches up to  $-0.054$  for R-LSAN and  $+0.064$  for L-LSAN around the peak. In this section, the intensity of the  $g$ -factor of the LSAN is higher than the plasmonic optical activity in the visible range as observed in recent studies [29]. Notably, the typical order of the magnitude of  $g$ -factors is below  $10^{-3}$  in most cases [29]. The higher  $g$ -factor is achieved merely by the conciseness of the plasmonic nanostructure of the thickness difference on PS nanosphere templates by GLAD.

### 3.3 Analysis of simulation

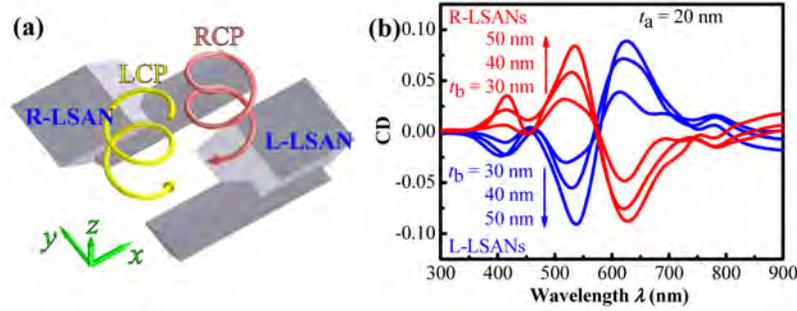


Fig. 7. Computational simulation of the optical behavior of LSANs on nanospheres of  $d = 380$  nm. (a) Geometric models of L- and R-LSAN. (b) Calculated  $CD = A_L - A_R$  spectra of LSANs with the different  $t_b$  and fixed  $t_a = 20$  nm.

To confirm the attribution of LSAN to CD effects, simplified models are simulated by finite element method (radio frequency model of COMSOL Multiphysics). For every slice fabricated by GLAD, the growth direction of increased  $T_b$  shows about  $30^\circ$  between the substrate plane. Figure 7(a) shows the geometric models of R-LSAN and L-LSAN on  $d = 380$  nm monolayers, which are simply composed by two right parallelepipeds that intersect at  $30^\circ$  with respect to  $xy$  plane. Given that LSAN fabricated by glancing deposition, the nominal deposition thicknesses ( $T_a$  and  $T_b$ ) in the experiment are different from the actual deposition thicknesses. The actual deposition thicknesses are  $t_a = 20$  nm,  $t_b = 30, 40, 50$  nm, accordingly. The lengths of right parallelepipeds are defined by  $l_1 = 335$  nm and  $l_2 = 220$  nm, respectively. In Fig. 7(b), the CD spectra of R-SAN and L-LSAN with different  $t_b$  and fixed  $\varphi_0 = 30^\circ$  are shown under normal incidence of circularly polarized light. With the increase of  $t_b$ , the enlargement and red-shift of simulated spectra agree with the measured spectra in Fig. 6(b) for  $d = 380$  nm. For fixing  $t_b$ , the simulated spectra are blue-shifted in comparison with the experimentally observed spectra. It is due to the decrease in electronic resonance length on the plasmonic structure, when we simply approximated plane slices from cambered slices on the nanospheres in the experiment. Meanwhile, the spectra are more fluctuant than the experimentally observed spectra because of the average effect in the experiment.

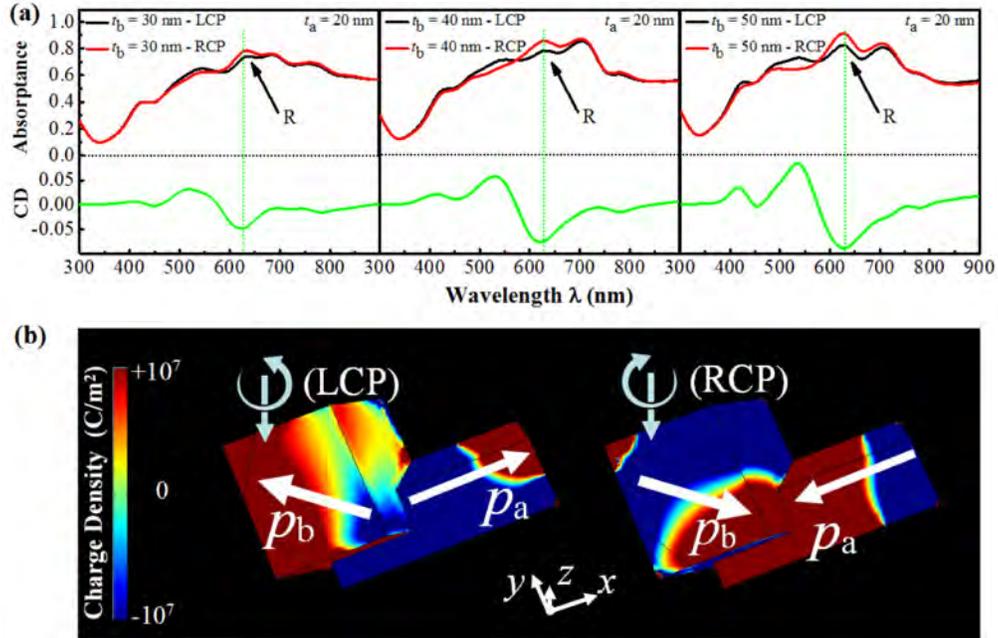


Fig. 8. (a) The simulated circular polarized absorbance and CD spectra of R-LSANs for  $d = 380$  nm,  $t_a = 20$  nm, and  $t_b = 30, 40, 50$  nm, respectively. (b) The near-field distributions of charge density (rainbow) for  $t_a = 20$  nm and  $t_b = 40$  nm at the resonant wavelength marked “R”. Equivalent electric dipole moments are marked by white arrows and labeled by “ $p_a$ ” and “ $p_b$ ”, which is located at the two slices of different thicknesses  $t_a$  and  $t_b$ , respectively.

The mechanism of generating CD is explained by the Born–Kuhn oscillator model, which consists of two identical nanorods displaced vertically [16]. For right-hand structures, bonding mode is more easily excited by LCP light than RCP light. Conversely, anti-bonding mode is more easily excited by RCP light than LCP light [16]. When the distance between the two nanorods is shorter than an effective quarter wavelength, RCP light excited not only bonding mode but also anti-bonding mode. The simplest plasmonic version of Born–Kuhn configuration shows the fundamental optical chiral activity with bonding mode and antibonding mode. To illustrate the enlargement and red-shift of the CD spectra of R-LSAN with the increase of  $t_b$ , we show the absorbance spectra under LCP and RCP light and CD with fixed  $t_a = 20$  nm varied  $t_b$  from 30 nm to 50 nm in Fig. 8(a). In the CD spectrum, apparent dips are observed at  $\lambda = 620, 625, 630$  nm with increasing  $t_b$ . The positions of dips in the CD spectra correspond to the positions of peaks in the absorbance spectra, which indicate electronic resonances on R-LSAN. The resonant peaks labeled as “R” in absorbance spectra are red-shifted with the increased  $t_b$ , which leads to the red-shift of the dip in the CD spectra. The near-field COMSOL simulations at these wavelengths reveal that these resonances present similar near-field distributions of charge density. The distributions of charge density for  $t_b = 40$  nm are shown in Fig. 8(b). Equivalent electric dipole moment are marked by white arrows and labeled by “ $p_a$ ” and “ $p_b$ ”, which are located on two slices of different thicknesses. The two slices provide crossed  $p_a$  and  $p_b$  for forming an anti-bonding mode, and the different thicknesses of the two slices in the direction of the wave vector provide phase difference. Thus, resonant mode R in absorbance spectra can be regarded as the Born–Kuhn model for the CD effect. For R-LSAN, the anti-bonding mode is not only excited by RCP light but also by LCP light at the position of resonance “R”. In this case, the polarization vector of the light does not fully align with the lower slices. However, the anti-bonding mode is effectively still excited by RCP light because of its preferred symmetry for RCP light, which generates

optical chirality. The red-shift of resonance “R” is due to the increasing resonant distance of  $p_b$  with increasing  $t_b$ . The enlargement of CD is due to the increasing resonant areas of electric dipoles  $p_b$  with increasing  $t_b$ . In addition, with the replacement of Ag with Si, the intensity of CD is reduced, and the dip width of the CD spectrum becomes broader. The result demonstrates that the plasmonic property of Ag performs an important function in achieving chiroptical response.

#### 4. Concluding remarks

In summary, we propose a concise method of fabricating monolayer chiral plasmonic nanostructure. By controlling substrate azimuth and deposition time of glancing angle deposition, LSAN comprising two slices with different thickness are stacked on self-assembled monolayer PS nanosphere arrays, which forms a thickness difference along two azimuthal orientations. Experimental results show that the intensity of CD spectra of LSAN are enlarged and the CD spectra are red-shift with increasing thickness difference of two slices for LSAN on the PS spheres of different diameters. Numerical calculations show that the two slices comprising LSAN provide cross-electric dipoles, and the different thicknesses positions of the two slices provide phase difference for generating optical chirality. This study not only provides a concise and scalable method for fabricating chiral plasmonic nanostructures but also contributes to the understanding of the mechanism of chirality generation.

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